De Bruijn Sequences for the Binary Strings with Maximum Density

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Abstract. A de Bruijn sequence is a circular binary string of length 2^n that contains each binary string of length n exactly once as a substring. A maximum-density de Bruijn sequence is a circular binary string of length $\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{m}$ that contains each binary string of length n with density (number of 1s) between 0 and m, inclusively. In this paper we efficiently generate maximum-density de Bruijn sequences for all values of n and m. An interesting special case occurs when n = 2m + 1. In this case our result is a "complement-free de Bruijn sequence" since it is a circular binary string of length 2^{n-1} that contains each binary string of length n or its complement exactly once as a substring.

Keywords: de Bruijn sequence, fixed-density de Bruijn sequence, Gray codes, necklaces, Lyndon words, cool-lex order

1 Introduction

Let $\mathbf{B}(n)$ be the set of binary strings of length n. The *density* of a binary string is its number of 1s. Let $\mathbf{B}_d(n)$ be the subset of $\mathbf{B}(n)$ whose strings have density d. Let $\mathbf{B}(n,m) = \mathbf{B}_0(n) \cup \mathbf{B}_1(n) \cup \cdots \cup \mathbf{B}_m(n)$ be subset of $\mathbf{B}(n)$ whose strings have density at most m. A *de Bruijn sequence* (or *de Bruijn cycle*) is a circular binary string of length 2^n that contains each string in $\mathbf{B}(n)$ exactly once as a substring [2]. De Bruijn sequences were studied by de Bruijn [2] (see earlier [3]) and have many generalizations, variations, and applications. For example, one can refer to the recently published proceedings of the *Generalizations on de Bruijn Sequences and Gray Codes* workshop [7].

In this paper we consider a new generalization that specifies the maximum density of the substrings. A maximum-density de Bruijn sequence

^{*} Research supported in part by NSERC

is a binary string of length $\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{m}$ that contains each string in $\mathbf{B}(n,m)$ exactly once as a substring. For example,

0000011000101001

is a maximum-density de Bruijn sequence since its 16 substrings of length 5 (including the "wrap-arounds") are precisely $\mathbf{B}(5, 2)$. Our main results are 1) an explicit construction of maximum-density de Bruijn sequences for all n and m, and 2) an efficient algorithm that generates them.

We make four simple observations involving maximum-density de Bruijn sequences for $\mathbf{B}(n, m)$:

- 1. A maximum-density de Bruijn sequence is simply a de Bruijn sequence when n = m.
- 2. Complementing each bit in a maximum-density de Bruijn sequence results in a minimum-density de Bruijn sequence for the binary strings of length n whose density is at least n m.
- 3. When n = 2m+1 a maximum-density de Bruijn sequence is a *complement-free de Bruijn sequence* since each binary string of length n either has density at most m or at least n m.
- 4. Reversing the order of the bits in a maximum-density de Bruijn sequence simply gives another maximum-density de Bruijn sequence for the same values of n and m. It is easier to describe our sequences in one order, and then generate them in the reverse order.

Section 2 provides background results. Section 3 describes our construction and proves its correctness. Section 4 provides an algorithm that generates our construction and analyzes its efficiency.

2 Background

A necklace is a binary string in its lexicographically smallest rotation. The necklaces over $\mathbf{B}(n)$ and $\mathbf{B}_d(n)$ are denoted $\mathbf{N}(n)$ and $\mathbf{N}_d(n)$, respectively. The aperiodic prefix of a string $\alpha = a_1 a_2 \cdots a_n$ is its shortest prefix $\rho(\alpha) = a_1 a_2 \cdots a_k$ such that $\rho(\alpha)^{n/k} = \alpha$. As is customary, the previous expression uses exponentiation to refer to repeated concatenation. Observe that if $|\rho(\alpha)| = k$, then α has k distinct rotations. For example, $\rho(0010100101) = 00101$ and 0010100101 is a necklace since it is lexicographically smaller than its other four distinct rotations 0101001010, 10100101001, and 1001010010. A necklace α is aperiodic if $\rho(\alpha) = \alpha$. One of the most important results in the study of de Bruijn sequences is due to Fredricksen, Kessler and Maiorana [4,5] (also see Knuth [8]). These authors proved that a de Bruijn sequence for $\mathbf{B}(n)$ can be constructed by concatenating the aperiodic prefixes of the strings in $\mathbf{N}(n)$ in lexicographic order. For example, the lexicographic order of $\mathbf{N}(6)$ is

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000000, 000001, 000011, 000101, 000111, 001001, 001011,
001101, 001111, 010101, 010111, 011011, 011111, 111111
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and so the following is a de Bruijn sequence for $\mathbf{B}(6)$ where \cdot separates the aperiodic prefixes

Although this de Bruijn sequence is written linearly above, we treat it as a circular string so that its substrings "wrap-around" from the end to the beginning. Interestingly, the de Bruijn sequence is the lexicographically smallest de Bruijn sequence for $\mathbf{B}(6)$ (when written linearly). Subsequent analysis by Ruskey, Savage, and Wang [9] proved that these lexicographically smallest de Bruijn sequences can be generated efficiently for all n.

Recently, this *necklace-prefix algorithm* was modified to create a restricted type of de Bruijn sequence. To describe this modification, we first consider two variations of lexicographic order. *Co-lexicographic order* is the same as lexicographic order except that the strings are read from right-to-left instead of left-to-right. For example, the co-lexicographic order of $\mathbf{N}_4(8)$ appears below

 $\begin{array}{c} 01010101, \ 00110101, \ 00101101, \ 00011101, \ 00110011, \\ 00101011, \ 00011011, \ 00100111, \ 000010111, \ 00001111. \ (1) \end{array}$

Observe that the strings in (1) are ordered recursively by their suffix of the form 01^i for decreasing values of *i*. In particular, the string with prefix 0^*1^* appears last for each suffix fixed by recursion. *Reverse coollex order* is the same as co-lexicographic order except that for each fixed suffix the string with prefix 0^*1^* appears first instead of last. This order was initially defined for $\mathbf{B}_d(n)$ by Ruskey and Williams [12]⁴, and has

⁴ The order used in this paper is also bitwise complemented with respect to the circular order presented in [12].

since been generalized to subsets of $\mathbf{B}_d(n)$ including $\mathbf{N}_d(n)$ by Ruskey, Sawada, Williams [11]. In this paper $\operatorname{cool}_d(n)$ denotes the order of $\mathbf{N}_d(n)$. For example,

$$\operatorname{cool}_4(8) = 00001111, \ 00011101, \ 00110101, \ 01010101, \ 00101101, \ 000101101, \ 00010111, \ 00100111, \ 00100111. \ (2)$$

When comparing these orders, observe that 00001111 is last in (1) and first in (2). Similarly, 00011101 is the last string with suffix 01 in (1) and is the first string with suffix 01 in (2).

Let $dB_d(n)$ denote the concatenation of the aperiodic prefixes of $cool_d(n+1)$. For example, the concatenation of the aperiodic prefixes of (2) gives the following

Observe that the circular string in (3) contains each string in $\mathbf{B}_3(7) \cup \mathbf{B}_4(7)$ exactly once as a substring, and has no other substrings of length 7. For this reason it is known as a *dual-density de Bruijn sequence* for $\mathbf{B}_3(7) \cup \mathbf{B}_4(7)$ in this paper⁵. More generally, Ruskey, Sawada, and Williams [10] proved the following result.

Theorem 1. [10] The circular string $dB_d(n)$ is a dual-density de Bruijn sequence for $B_{d-1}(n) \cup B_d(n)$ when 1 < d < n.

Unfortunately, the dual-density de Bruijn sequences from Theorem 1 cannot simply be "glued together" to create maximum-density de Bruijn sequences. However, we will show that the dual-density sequences can be disassembled and then reassembled to achieve this goal. In order to do this we do not need to completely understand the proof of Theorem 1, but we do need a simple property for its dual-density de Bruijn sequences. In other words, we need to treat each $dB_d(n)$ as a "gray box". The specific property we need is stated in the following simple lemma involving reverse cool-lex order that follows immediately from equation (5.1) in [10].

Lemma 1. [10] If $\mathbf{N}_d(n)$ contains at least three necklaces, then

- the first necklace in $\operatorname{cool}_d(n+1)$ is $0^{n-d+1}1^d$, and

⁵ The string in (3) is described as a *fixed-density de Bruijn sequence* in [10] since each substring in $\mathbf{B}_3(7) \cup \mathbf{B}_4(7)$ can be uniquely extended to a string in $\mathbf{B}_4(8)$ by appending its 'missing' bit.

- the second necklace in $\operatorname{cool}_d(n+1)$ is $0^{n-d}1^{d-1}01$, and
- the last necklace in $\operatorname{cool}_d(n+1)$ is $0^x 10^y 1^{d-1}$

where $x = \lceil (n+1-d)/2 \rceil$ and $y = \lfloor (n+1-d)/2 \rfloor$. Moreover, each of the necklaces given above are distinct from one another and are aperiodic.

In Section 3 we take apart the dual-density de Bruijn sequence $dB_d(n)$ around the location of the necklace $0^{n-d+1}1^d$ from $cool_d(n+1)$. For this reason we make two auxiliary definitions. Let $cool'_d(n+1)$ equal $cool_d(n+1)$ except that the first necklace $0^{n-d+1}1^d$ omitted. Similarly, let $dB'_d(n)$ be the concatenation of the aperiodic prefixes of $cool'_d(n+1)$. For example, we will be splitting $dB_4(7)$ in (3) into 00001111 and

Unlike $dB_d(n)$, we treat $dB'_d(n)$ as a linear-string since we will use it as a substring in the concatenation of other strings.

3 Construction

In this section we define a circular string dB(n,m) of length $1 + \binom{n}{1} + \binom{n}{2} + \cdots + \binom{n}{m}$. Then we prove that dB(n,m) is a maximum-density de Bruijn sequence for B(n,m) in Theorem 2. The definition of dB(n,m) appears below

$$= \begin{cases} 0 \ 0^{n-1} 1^2 \ 0^{n-3} 1^4 \cdots 0^{n-m+1} 1^m \ \mathsf{dB'}_m(n) \cdots \mathsf{dB'}_4(n) \ \mathsf{dB'}_2(n) & \text{if } m \text{ is even (4a)} \\ 0^n 1 \ 0^{n-2} 1^3 \ 0^{n-4} 1^5 \cdots 0^{n-m+1} 1^m \ \mathsf{dB'}_m(n) \cdots \mathsf{dB'}_5(n) \ \mathsf{dB'}_3(n) & \text{if } m \text{ is odd. (4b)} \end{cases}$$

Tables 1 and 2 provides examples of dB(n, m) when n = 7. To understand (4), observe that dB(n, m) is obtained by "splicing" together the dualdensity de Bruijn sequences $dB_d(n + 1) = 0^{n-d+1}1^d dB'_d(n + 1)$ for d = $0, 2, 4, \ldots, m$ in (4a) or $d = 1, 3, 5, \ldots, m$ in (4b). In particular, $dB_0(n + 1) = 0$ is the aperiodic prefix of 0^{n+1} on the left side of (4a), and the empty $dB'_0(n)$ and $dB'_1(n)$ are omitted from the right sides of (4a) and (4b), respectively. For the order of the splicing, observe that $dB_m(n) =$ $0^{n-m+1}1^m dB'_m(n)$ appears consecutively in dB(n, m). That is,

$$\mathsf{dB}(n,m) = \cdots \; \mathsf{dB}_m(n) \; \cdots$$

More specifically, dB(n,m) is obtained by inserting $dB_m(n)$ into the portion of dB(n, m-2) that contains $dB_{m-2}(n)$. To be precise, $dB_m(n)$ is inserted between the first and second necklaces of $\operatorname{cool}_{m-2}(n+1)$. That is,

$$\mathsf{dB}(n,m) = \cdots \underbrace{\mathbb{Q}^{n-m+3} \mathbb{1}^{m-2}}_{\text{first in } \mathsf{cool}_{m-2}(n+1)} \mathsf{dB}_m(n) \underbrace{\mathbb{Q}^{n-m+2} \mathbb{1}^{m-3} \mathbb{0}}_{\text{second in } \mathsf{cool}_{m-2}(n+1)} \cdots$$

Theorem 2. The circular string dB(n,m) is a maximum-density de Bruijn sequence for B(n,m).

Proof. The claim can be verified when $n \leq 4$. The proof for $n \geq 5$ is by induction on m. The result is true when $m \in \{0, 1\}$ since $d\mathbf{B}(n, 0) = 0$ and $d\mathbf{B}(n, 1) = 0^n 1$ are maximum-density de Bruijn sequences for $\mathbf{B}(n, 0)$ and $\mathbf{B}(n, 1)$, respectively. The remaining base case of m = 2 gives $d\mathbf{B}(n, 2) = 0$ $0^{n-1}11 \ d\mathbf{B'}_2(n) = 0 \ d\mathbf{B}_2(n)$, which is a maximum-density de Bruijn sequence for $\mathbf{B}(n, 2)$ since $d\mathbf{B}_2(n)$ is a dual-density de Bruijn sequence for $\mathbf{B}_1(n) \cup \mathbf{B}_2(n)$ by Theorem 1.

First we consider the special case where m = n. In this case, $\mathbf{N}_{n-1}(n+1)$ contains at least three necklaces. Therefore, Lemma 1 implies that dB(n, n-2) and dB(n, n) can be expressed as follows

$$dB(n, n-2) = \cdots 0001^{n-2} \qquad 001^{n-3}01 \cdots$$
$$dB(n, n) = \cdots 0001^{n-2} \underbrace{01^n}_{dB_n(n+1)} 001^{n-3}01 \cdots$$

Observe that every substring of length n that appears in dB(n, n-2) also appears in dB(n, n). Furthermore, the substrings of length n that appear in dB(n, n) and not dB(n, n-2) are $1^{n-2}01, 1^{n-3}011, \ldots, 01^n, 1^n, 1^{n-1}0$, which are an ordering of $\mathbf{B}_{n-1}(n) \cup \mathbf{B}_n(n)$. Since dB(n, n-2) is a maximumdensity de Bruijn sequence for $\mathbf{B}(n, n-2)$ by induction, we have proven that dB(n, n) is a maximum-density de Bruijn sequence for $\mathbf{B}(n, n)$.

Otherwise m < n. In this case, $\mathbf{N}_{m-2}(n+1)$ and $\mathbf{N}_m(n+1)$ both contain at least three necklaces. Therefore, Lemma 1 implies that dB(n, m-2) and dB(n, m) can be expressed as follows

$$dB(n, m-2) = \cdots 0^{n-m+3} 1^{m-2} \underbrace{0^{n-m+1} 1^m \cdots 0^x 10^y 1^{m-1}}_{dB_m(n)} 0^{n-m+2} 1^{m-3} 01 \cdots$$

where $x = \lceil (n+1-m)/2 \rceil$, $y = \lfloor (n+1-m)/2 \rfloor$, and the bounds m and n imply that $0^x 10^y 1^{m-1}$ and $0^{n-m+2} 1^{m-3} 01$ are aperiodic. The substrings of length n in dB(n, m-2) are B(n, m-2) by induction, and

Cool-lex orders		Maximum-density sequences				
(even densities)		dB(7,0)		$d\dot{B}(7,4)$	dB(7,6)	
	00000000	0	0	0	0	
	00000011		00000011	00000011	00000011	
$0^{*}1^{*}$	00001111			00001111	00001111	
	00111111				00111111	
$cool_0(8)$	$\operatorname{cool'}_0(8)$					
00000000						
$\operatorname{cool}_2(8)$	$\operatorname{cool}'_2(8)$					
00000011						
00000101	00000101		00000101	00000101	00000101	
00001001	00001001		00001001	00001001	00001001	
00010001	00010001		0001	0001	0001	
00010001	00010001		0001	0001	0001	
$\operatorname{cool}_4(8)$	$\operatorname{cool}'_4(8)$					
00001111						
00011101	00011101			00011101	00011101	
00110101	00110101			00110101	00110101	
01010101	01010101			01	01	
00101101	00101101			00101101	00101101	
00011011	00011011			00011011	00011011	
00110011	00110011			0011	0011	
00101011	00101011			00101011	00101011	
00010111	00010111			00010111	00010111	
00100111	00100111			00100111	00100111	
$\operatorname{cool}_6(8)$	$\operatorname{cool}'_6(8)$					
00111111						
01110111	01110111				0111	
01101111	01101111				01101111	
01011111	01011111				01011111	

Table 1. Maximum-density de Bruijn sequences constructed from coollex order of necklaces when n = 7 and m is even. For example, dB(7, 2) = 0.00000011.00000101.00001001.0001

Cool-lex orders		Maximum-density sequences				
(odd densities)		dB(7,1)	dB(7,3)	dB(7,5)	dB(7,7)	
(00000001	00000001	00000001	00000001	00000001	
$0^{*}1^{*}$	00000111		00000111	00000111	00000111	
	00011111			00011111	00011111	
	01111111				01111111	
$\operatorname{cool}_3(8)$	$\operatorname{cool}'_2(8)$					
00000111						
00001101	00001101		00001101	00001101	00001101	
00011001	00011001		00011001	00011001	00011001	
00010101	00010101		00010101	00010101	00010101	
00100101	00100101		00100101	00100101	00100101	
00001011	00001011		00001011	00001011	00001011	
00010011	00010011		00010011	00010011	00010011	
$\operatorname{cool}_5(8)$	$\operatorname{cool}'_4(8)$					
00011111						
00111101	00111101			00111101	00111101	
00111011	00111011			00111011	00111011	
01011011	01011011			01011011	01011011	
00110111	00110111			00110111	00110111	
01010111	01010111			01010111	01010111	
00101111	00101111			00101111	00101111	
$\operatorname{cool}_7(8)$	$\operatorname{cool'}_6(8)$					
01111111					1.0	

 the substrings of length n in $dB_m(n)$ are $B_{m-1}(n) \cup B_m(n)$ by Theorem 1. Therefore, we can complete the induction by proving that the substrings of length n in dB(n,m) include those in (a) dB(n,m-2), and (b) $dB_m(n)$. To prove (a) we make two observations. First, the substrings of length n in the $0^{n-m+3}1^{m-2}0^{n-m+2}$ portion of dB(n,m-2) are in the $0^{n-m+3}1^{m-2}0^{n-m+1}$ portion of dB(n,m), except for $1^{m-2}0^{n-m+2}$. Second, the substrings of length n in the $1^{m-1}0^{n-m+2}1^{m-3}$ portion of dB(n,m), and the latter also includes the aforementioned $1^{m-2}0^{n-m+2}$. To prove (b), consider how the insertion of $dB_m(n)$ into dB(n,m) alters its substrings that can no longer "wrap-around" in $dB_m(n)$. The substrings of length n in the $1^{m-1}0^{n-m+2}$ portion of dB(n,m). Therefore, dB(n,m) are all in the $1^{m-1}0^{n-m+2}$ portion of dB(n,m).

Corollary 1 follows from the first three simple observations made in Section 1.

Corollary 1. The construction of the maximum-weight de Bruijn sequences dB(n,m) includes

- 1. dB(n,n) is a de Bruijn sequence for B(n),
- 2. dB(n,m) is a minimum-weight de Bruijn sequence for $\mathbf{B}_{n-m}(n) \cup \mathbf{B}_{n-m+1}(n) \cup \cdots \cup \mathbf{B}_n(n)$,
- 3. dB(2m+1,m) is a complement-free de Bruijn sequences for B(2m+1).

4 Algorithm

As mentioned in Section 1, the reversal of dB(n,m), denoted $dB(n,m)^R$ also yields a maximum-density de Bruijn sequence. To efficiently produce $dB(n,m)^R$ we can use the recursive cool-lex algorithm described in [13] to produce the reversal of $dB_d(n)$. In that paper, details are provided to trim each necklace to its longest Lyndon prefix and to output the strings in reverse order. An analysis shows that on average, each n bits can be visited in constant time. There are two data structures used to maintain the current necklace: a string representation $a_1a_2\cdots a_n$, and a block representation $B_cB_{c-1}\cdots B_1$ where a block is defined to be a maximal substring of the form 0^*1^* . A block of the form 0^*1^t is represented by (s,t). Since the number of blocks c is maintained as a global parameter, it is easy to test if the current necklace is of the form 0^*1^* : simply test if c = 1. By adding this test, it is a straightforward matter to produce the reversal of $d\mathsf{B}'_d(n)$. To be consistent with the description in [13], the function $\mathsf{Gen}(n-d,d)$ can be used to produce $d\mathsf{B}'_d(n)$. Using this function, the following pseudocode can be used to produce $d\mathsf{B}(n,m)^R$:

```
if m is even then start := 2
else start := 3
for i from start by 2 to m do
Initialize(n + 1, i)
Gen(n + 1 - i, i)
for i from m by 2 downto 0 do Print(1^{i}0^{n+i-1})
if m is even then Print(0)
```

The Initialize(n+1, i) function sets $a_1 a_2 \cdots a_{n+1}$ to $0^{n+1-i}1^i$, sets c = 1and sets $B_1 = (n + 1 - i, i)$. The first time it is called it requires O(n)time, and for each subsequent call, the updates can be performed in O(1)time. Also note that the string visited by the Print() function can also be updated in constant time after the first string is visited. Since the extra work outside the calls to Gen requires O(n) time, and because the number of bits in dB(n,m) is $\Omega(n^2)$ where 1 < m < n, we obtain the following theorem.

Theorem 3. The maximum-density de Bruijn sequence $dB(n,m)^R$ can be generated in constant amortized time for each n bits visited, where 1 < m < n.

5 Open Problems

A natural open problem is to efficiently construct density-range de Bruijn sequences for the binary strings of length n whose density is between iand j (inclusively) for any $0 \le i < j \le n$. Another open problem is to efficiently construct complement-free de Bruijn cycles for even values of n (see [6] for the existence of de Bruijn cycles under various equivalence classes).

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